



Equiton makes it quick and easy for Advisors to qualify their clients in our Funds. It takes about 5 minutes to fill out the requisite paperwork. Enter your trade into your system and follow the instructions below to complete your trade.

Only Takes  
**5 mins**  
To Complete  
All Paperwork



## Subscription Agreement

For eligible investors, complete pages **2, 18-20 and 23-27** (standard government forms).

2 mins

### Page 2 Complete all relevant fields.

#### Frequently asked questions about this page:

- Full Legal Name** If the investment is joint, both full names are required here and all subsequent fields in this section should have the information for both individuals. You must also specify if the investment is **Joint with Right of Survivorship (JTWR0S)** OR **Tenants in Common (TIC)**. Primary investor's name should be listed first.
- Date of Execution** Date the document is signed.
- Is the Subscriber a registrant** **"Registrant"** = Financial Advisor or licensed individual making the investment.
- Is the Subscriber an insider of the Issuer** **"Insider of the Issuer"** = works for Equiton.
- If the Subscriber is signing as an agent of the principal** **"Agent of the principal"** = Power of Attorney, Portfolio Manager etc.
- Distribution option** **"Option selection"** = needs to match the selection in your system.
- Register the Trust Units/  
Deliver the Trust Units** Trust information of where the funds are being held if applicable.

30 sec

### Page 18 Enter the name of the relevant province in 1.A, sign and date.

30 sec

### Page 19 Initial beside the applicable categories. If selecting category (f), enter the name of the individual who provided the advice.



## Page 20

Fill in required fields. Client must initial, sign and date.

### Section 1:

**Field:** Risk of Loss = total dollar amount of investment

**Fields:** Total Investment = Field 1) total dollar amount of investment  
Field 2) your fee or commission amount  
Field 3) your name

Client must initial each risk statement, sign and date.

### Section 2:

Fill in your information.

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## Page 23-25

Initial the applicable statement(s). This form is only for individual investors, not corporations and is required from residents of Alberta, New Brunswick, Nova Scotia, Ontario, Québec and Saskatchewan.

**Section A:** Initial the box beside the applicable statement(s).

**Section B:** Leave this section blank.

**Section C:** Leave this section blank.

**Section D:** Initial the box beside the statement only if non-eligible investor.

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## Page 26-27

Initial beside the applicable statement(s). This form is required from residents of Alberta, New Brunswick, Nova Scotia, Ontario, Québec and Saskatchewan.

**Section 1.A:** Initial box 1, then fill in purchase amount in box 2 or 3 then initial.

**Section 1.B:** Leave this section blank.

**Section 1.C:** Leave this section blank.

**Section 1.D:** If investor is a non-eligible investor, fill in the amount then initial the box, if applicable.

**Section 2:** To be completed if purchaser received advice from a Portfolio Manager, investment dealer or Exempt Market Dealer.